

NEW WINDSOR FAMILY MEDICINE, PLLC

Notice of Privacy Practices

Effective: 12/11/2006

As a result of the Health Insurance Portability & Accountability Act of 1996 it is required that this office inform you, the patient, how health information about you may be used and disclosed and how you can get access to your health information. Please review this notice carefully.

This practice is dedicated to maintaining the privacy of your individually identifiable health information, or protected health information (PHI). In conducting our business, we will create records regarding you and the treatment and services we provide to you. We are required by law to maintain the confidentiality of health information that identifies you. We are also required by law to provide you with this notice of our legal duties and the privacy practices that we maintain in our practice concerning your PHI. By federal and state law, we must follow the terms of the Notice of Privacy Practices that we have in effect at the time.

Although these laws are complicated, we must provide you with the following important information:

- How we may use and disclose your PHI
- Your privacy rights in your PHI
- Our obligations concerning the use and disclosure of your PHI

The terms of this notice apply to all records containing your PHI that are created or retained by our practice. We reserve the right to revise or amend this Notice of Privacy Practices. Any revision or amendment to this notice will be effective for all of your records that we may create or maintain in the future. Our practice will post a copy of our current Notice in our office in a visible location at all times and you may request a copy of our most current Notice at any time.

II. We may use and disclose your PHI in the following ways:

- 1. Treatment** – Our practice may use your PHI to treat you. For example, we may ask you to have laboratory tests (such as urine or blood tests), and we may use the results to help us reach a diagnosis. We might use your PHI to a pharmacy when we order a prescription for you. Many of the people who work for our practice, including our clinicians, may use or disclose your PHI in order to treat you or to assist others in your treatment. Additionally, we may disclose your PHI to others who may assist in your care, such as your spouse, children, or parents. Finally, we may also disclose your PHI to other health care providers for purposes related to your treatment.
- 2. Payment** – Our practice may use and disclose your PHI in order to bill and collect payment for the services and items you may receive from us. For example, we may contact your health insurance carrier to certify that you are eligible for benefits (and for what range of benefits), and we may provide your insurer with details regarding your treatment to determine if your insurer will cover, or pay for, your treatment. We may also use and disclose your PHI to obtain payment from third parties that may be responsible for such costs, such as family members. Also, we may use your PHI to bill you directly for services and items. We may disclose your PHI to other health care providers and entities to assist in their billing and collection efforts.

3. **Health Care Operations** – Our practice may use and disclose your PHI to operate our business. As examples of the ways in which we may use and disclose your information for our operations, our practice may use your PHI to evaluate the quality of care you received from us, or to conduct cost-management and business planning activities for our practice. We may disclose your PHI to other health care providers and entities to assist in their health care operations.
4. **Appointment Reminders** – Our practice may use and disclose your PHI to contact you and remind you of an appointment.
5. **Treatment Options** – Our practice may use and disclose your PHI to inform you of health-related benefits or services that may be of interest to you.
6. **Health Related Benefits and Services** – Our practice may use and disclose your PHI to inform you of health related benefits or services that may be of interest to you.
7. **Release of Information to Family/Friends** – Our practice may release your PHI to a friend or family member that is involved in your care, or who assists in taking care of you. For example, a parent or guardian may ask that a baby sitter take their child to be seen by the doctor for treatment of a cold. In this example, the baby sitter may have access to this child’s medical information.
8. **Disclosures Required by Law** – Our practice will use and disclose your PHI when we are required to do so by federal, state or local law.

III. Use and Disclosure of your PHI in Certain Special Circumstances

The following describes scenarios in which we may use or disclose your PHI:

1. **Public Health Risks** – Our practice may disclose your PHI to public health agencies that are authorized by law to collect information for the purpose of:
 - Maintaining vital records, such as births and deaths
 - Reporting child abuse or neglect
 - Preventing or controlling disease, injury or disability
 - Notifying a person regarding potential exposure to a communicable disease
 - Notifying a person regarding a potential risk for spreading or contracting a disease or condition.
 - Reporting reaction to drugs or problems with products or devices
 - Notifying individuals if a product or device they may be using has been recalled
 - Notifying appropriate government agencies and authorities regarding potential abuse or neglect of an adult patient (including domestic violence) however, we will only disclose this information if the patient agrees or if we are required or authorized by law to disclose this information.
 - Notifying your employer under limited circumstances related primarily to workplace injury or illness or medical surveillance
2. **Health Oversight Activities** – Our practice may disclose your PHI to a health oversight agency for activities authorized by law. Oversight activities can include, for example, investigations, inspections, audits, surveys, licensure and disciplinary actions; civil, administrative and criminal procedures or actions; or other activities necessary for the government to monitor government programs, compliance with civil rights laws and the health care system in general.
3. **Lawsuits and Similar Proceedings** – Our practice may use and disclose your PHI in response to a court or administrative order, if you are involved in a lawsuit or similar proceeding. We may also disclose your PHI in response to a discovery request, subpoena or other lawful process by another party involved in the dispute, but only if we have made an effort to inform you of the request or to obtain an order protecting the information the party has requested.

4. **Lawful Enforcement** – We may release PHI if asked to do so by a law enforcement official regarding any of the following:
 - A crime victim in certain situations, if we are unable to obtain the person’s agreement
 - Concerning a death we believe has resulted from criminal conduct
 - Regarding criminal conduct at our offices
 - In response to a warrant, summons, court order, subpoena or similar legal process
 - To identify or locate a suspect, material witness, fugitive or missing person
 - In an emergency to report a crime (including the location or victim(s) of the crime, or the description, identity or location of the perpetrator.
5. **Deceased Patients** – Our practice may release PHI to a medical examiner or coroner to identify a deceased individual or identify the cause of death. If necessary, we may release information in order for funeral directors to perform their jobs.
6. **Organ and Tissue Donation** – Our practice may release your PHI to organizations that handle organ, eye or tissue procurement or transplantation, including organ donation banks, as necessary to facilitate organ or tissue donation and transplantation if you are an organ donor.
7. **Research** – Our practice may use and disclose your PHI for research purposes in certain limited circumstances. We will obtain your written authorization to use your PHI for research purposes except when and Internal Review Board or Privacy Board has determined that the waiver of your authorization satisfies the below conditions:
 - The use of disclosure involves no more than a minimal risk to your privacy based on the following:
 1. an adequate plan to protect the identifiers from improper use and disclosure
 2. an adequate plan to destroy the identifiers at the earliest opportunity consistent with the research (unless there is a health research justification for retaining the identifiers or such retention is otherwise required by law)
 3. Adequate, written assurances that the PHI will not be re-used or disclosed to any other person or entity (except as required by law) for authorized oversight of the research study, or for other research for which the use or disclosure would be otherwise permitted.
 - The research could not practicably be conducted without the waiver.
 - The research could not practicably be conducted without the access to and use of the PHI
8. **Serious threats to health or safety** – Our practice may use and disclose your PHI when necessary to reduce or prevent a serious threat to your health and safety or the health and safety of another individual or the public. Under these circumstances, we will only make disclosures to a person or organization able to help prevent the threat.
9. **Military** – Our practice may disclose your PHI if you are a member of the U.S. or foreign military forces (including veterans) and if required by the appropriate authorities
10. **National Security** – Our practice may disclose your PHI to federal officials for intelligence and national security activities authorized by law. We also may disclose your PHI to federal and national security activities authorized by law. We may also disclose your PHI to federal officials in order to protect the president, other officials or foreign heads of state, or to conduct investigations.
11. **Inmates** – Our practice may disclose your PHI to correctional institutions or law enforcement officials if you are an inmate or under the custody of a law enforcement official. Disclosure for these purposes will be necessary for the institution to provide medical services to you, for the safety and security of the institution, and/or to protect your health and safety or the health and safety of other individuals.
12. **Workmen’s Compensation** – Our practice may release your PHI for worker’s compensation and similar programs.

IV. Your Rights Regarding Your PHI

- 1. Confidential Communication** – You have the right to request that our practice communicate with you about your health and related issues in a particular manner or at a certain location. For instance, you may ask that we contact you at home, rather than at work. In order to request a type of confidential communication, you must make a written request to the practice manager specifying the requested method of contact, or the location where you wish to be contacted. Our practice will accommodate reasonable requests. You do not need to give a reason for your request.
- 2. Requesting Restrictions** – You have the right to request a restriction in our use or disclosure of your PHI for treatment, payment or health care operations. Additionally, you have the right to request that we restrict our disclosure of your PHI to only certain individuals involved in your care or the payment for your care, such as family members and friends. We are not required to agree to your request; however, if we do agree, we are bound by our agreement except when otherwise required by law, in emergencies or when the information necessary to treat you. In order to request a restriction in our use or disclosure of your PHI, you must make your request in writing to the practice manager. Your request must describe in detail the information you wish restricted, whether you are requesting a limit to the practice’s use, disclosure, or both, and to whom you want the limitations applied.
- 3. Inspection and Copies** – You have the right to inspect and obtain a copy of the PHI that may be used to make decisions about you, including patient medical records and billing records, but not including psychotherapy notes. You must submit your request in writing to the practice manager in order to inspect and / or obtain a copy of your PHI. Our practice may charge a fee for the costs of copying, mailing, labor and supplies associated with your request. Our practice may deny your request to inspect and or/ copy in certain limited circumstances; however, you may request a review of our denial. Another licensed health care professional chosen by us will conduct the reviews.
- 4. Amendment** – You may ask us to amend your health information if you believe it is incorrect or incomplete, and you may request an amendment for as long as the information is kept by or for our practice. To request an amendment, your request must be made in writing and submitted to the practice manager. You must provide us with a reason that supports your request for amendment. Our practice will deny your request if you fail to submit the request and reason for request in writing. Also, we may deny your request if you ask us to amend information that is in our opinion: (a) accurate and complete; (b) not part of the PHI kept by or for the practice; (c) not part of the PHI which you would be permitted to inspect and copy or (d) not created by our practice, unless the individual or entity that created the information is not available to amend the information.
- 5. Accounting of Disclosures** – All of our patients have the right to request an “accounting of disclosures”. An “accounting of disclosures” must state a time period, which may not be longer than six (6) years from the date of disclosure and may not include dates before April 14, 2003. The first list you request within a 12 month period is free of charge, but our office may charge you for additional lists within the same 12 month period. Our practice will notify you of the costs involved with additional requests, and you may withdraw your request before you incur any costs.
- 6. Right to a Paper Copy of This Notice** – You are entitled to receive a paper copy of our notice of privacy practices. You may ask us to give you a copy of this notice at any time. To obtain a paper copy of this notice, contact the front desk receptionist or the practice manager.
- 7. Right to File a Complaint** – If you believe your privacy rights have been violated, you may file a complaint with our practice or with the Secretary of the Department of Health and Human Services. To file a complaint with our practice, contact the practice manager. All complaints must be submitted in writing. You will not be penalized for filing a complaint.
- 8. Right to Provide and Authorization for Other Uses and Disclosures** – Our practice will obtain your written authorization for uses and disclosures that are not identified by this notice or permitted by applicable law. Any authorization you provide to us regarding the use and disclosure of your PHI may be revoked at any time in writing. After you revoke your authorization, we will not longer use or disclose your PHI for the reasons described in the authorization. Please note: we are required to retain records of your care.

Should you have any questions regarding this notice or our health information privacy policies, please contact the practice manager at (845) 220-2270.